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# Modern approaches to quantum gravity

Solution 11

Fall 2025

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## 1. Scalar field in $AdS$

- (a) The separability of the wave function  $\phi(t, \theta, \Omega)$  follows from the form of the Casimir  $\square$  in  $AdS$ . First, use that

$$\square\phi = \frac{1}{\sqrt{g}}\partial_\mu(\sqrt{g}g^{\mu\nu}\partial_\nu\phi) \quad (1)$$

and write  $g_{\mu\nu}$  in the form

$$g_{\mu\nu} = \begin{pmatrix} -\frac{1}{\cos^2\theta} & 0 & 0 \\ 0 & \frac{1}{\cos^2\theta} & 0 \\ 0 & 0 & \frac{\sin^2\theta}{\cos^2\theta}h_{ij} \end{pmatrix} \quad (2)$$

where  $h_{ij}$  is the metric on the unit  $S^{d-1}$  sphere. Note that  $\sqrt{|g|} = \frac{\sin^{d-1}\theta}{\cos^{d+1}\theta}\sqrt{|h|}$ . Thus,

$$\begin{aligned} \square\phi &= -\cos^2\theta\partial_t^2\phi + \frac{\cos^{d+1}\theta}{\sin^{d-1}\theta}\partial_r\left(\frac{\sin^{d-1}\theta}{\cos^{d+1}\theta}\cos^2\theta\partial_r\phi\right) \\ &+ \frac{\cos^2\theta}{\sin^2\theta}\frac{1}{\sqrt{|h|}}\underbrace{\partial_i(\sqrt{h}h^{ij}\partial_j\phi)}_{=\Delta_{S^{d-1}}\phi} \end{aligned} \quad (3)$$

where we recognized the sphere Laplacian  $\Delta_{S^{d-1}}\phi$ . This form is precisely of the form

$$\square = \square_t + \square_r + \square_{S^{d-1}} \quad (4)$$

The most general solution is given by a linear combination of solutions of the form  $A(t)B(\theta)C(\Omega)$ . The nice way to obtain solutions is to diagonalize each operator. We diagonalize  $\partial_t^2$  in the standard way,

$$\partial_t^2\phi = -\omega^2\phi \quad (5)$$

where  $\omega$  is the energy. The eigenfunctions of  $\Delta_{S^{d-1}}$  are represented in spherical harmonics  $Y_\ell(\Omega)$  (there are other quantum numbers as well. For example, in the case  $d-1=2$ , there would be  $m = -\ell, \dots, \ell$ ) which obey the property

$$\Delta_{S^{d-1}}Y_\ell(\Omega) = -\ell(\ell+d-2)Y_\ell(\Omega) \quad (6)$$

You may be familiar with the case  $d-1=2$ , where  $\Delta_{S^2} = -J^2$ ,  $J^2 = \ell(\ell+1)$

(b) After having diagonalized  $\partial_t^2 \rightarrow -\omega^2$  and  $\Delta_{S^{d-1}} \rightarrow -\ell(\ell+d-2)$ ,  $\square\phi = m^2\phi$  reduces to

$$\cos^2\theta G''(\theta) + \frac{d-1}{\tan\theta}G'(\theta) + \left(\omega^2 \cos^2\theta - \frac{\ell(\ell+d-2)}{\tan^2\theta}\right)G(\theta) = m^2G(\theta) \quad (7)$$

In the mathematica file provided, we find two independent solutions to this equation, namely

$$G_1(\theta) = \cos^{d-\Delta}\theta \sin^\ell\theta {}_2F_1\left(\frac{d+\ell-\Delta+\omega}{2}, \frac{d+\ell-\Delta-\omega}{2}, \frac{d}{2}-\Delta+1, \cos^2\theta\right) \quad (8)$$

$$G_2(\theta) = \cos^\Delta\theta \sin^\ell\theta {}_2F_1\left(\frac{\ell+\Delta+\omega}{2}, \frac{\ell+\Delta-\omega}{2}, -\frac{d}{2}+\Delta+1, \cos^2\theta\right) \quad (9)$$

These are not the two linearly independent solutions we were looking for, since we were looking for  $\sin^2\theta$  as the last argument of  ${}_2F_1$ . To see what happens, we need to use hypergeometric function identities (c.f. wikipedia), including

$$\begin{aligned} {}_2F_1(a, b; c; z) &= \frac{\Gamma(c)\Gamma(c-a-b)}{\Gamma(c-a)\Gamma(c-b)} {}_2F_1(a, b; a+b+1-c; 1-z) \\ &\quad + \frac{\Gamma(c)\Gamma(a+b-c)}{\Gamma(a)\Gamma(b)} (1-z)^{c-a-b} {}_2F_1(c-a, c-b; 1+c-a-b; 1-z). \end{aligned} \quad (10)$$

Choosing  $a = \frac{\ell+\Delta+\omega}{2}$ ,  $b = \frac{\ell+\Delta-\omega}{2}$  and  $c = -\frac{d}{2} + \Delta + 1$ ,  $z = \cos^2\theta$ ,  $1-z = \sin^2\theta$ , this implies that the geometric  ${}_2F_1$  appearing in  $G_2$  can be expressed as

$$\begin{aligned} {}_2F_1(a, b, c, \cos^2\theta) &= A {}_2F_1\left(\frac{\ell+\Delta+\omega}{2}, \frac{\ell+\Delta-\omega}{2}, \ell + \frac{d}{2}, \sin^2\theta\right) \\ &\quad + B \sin^{-d-2\ell+2}\theta {}_2F_1\left(\frac{-d+\Delta-\ell+\omega+2}{2}, \frac{-d+\Delta-\ell-\omega+2}{2}, -\frac{d}{2}-\ell+2, \sin^2\theta\right) \end{aligned} \quad (11)$$

where  $A$  and  $B$  are some constants, independent of  $\theta$ . Plugging this in  $G_2$  this implies that

$$G_2(\theta) = AG_+(\theta) + BG_-(\theta) \quad (12)$$

where

$$G_+ = (\sin\theta)^\ell (\cos\theta)^\Delta {}_2F_1\left(\frac{\Delta+\ell+\omega}{2}, \frac{\Delta+\ell-\omega}{2}; \ell + \frac{d}{2}; \sin^2\theta\right) \quad (13)$$

$$G_- = (\sin\theta)^{(2-d-\ell)} (\cos\theta)^\Delta {}_2F_1\left(\frac{\Delta+2-d-\ell+\omega}{2}, \frac{\Delta+2-d-\ell-\omega}{2}; 2-\ell-\frac{d}{2}; \sin^2\theta\right) \quad (14)$$

The same analysis can be carried to  $G_1$  with the use of the identity  ${}_2F_1(a, b, c, z) = (1-z)^{c-a-b} {}_2F_1(c-a, c-b, c, z)$ , as it should be, since  $G_+$  and  $G_-$  are already independent solutions.

At  $\theta \rightarrow 0$ ,  ${}_2F_1(a, b, c, \sin^2\theta) = 1$  and  $\frac{d}{d\theta} {}_2F_1(a, b, c, \sin^2\theta) = 0$  (where  $a, b, c$  are arbitrary), and similarly for  $\cos^\Delta$ . So the divergent behaviour of  $G_-$  only comes from  $(\sin\theta)^{2-d-\ell}$ ,

$$\frac{d}{d\theta} G_-|_{\theta \rightarrow 0} \sim (2-d-\ell) \sin(\theta)^{(1-d-\ell)} \quad (15)$$

Since  $d > 1$  (the case of  $AdS_2$  is special and we won't consider it), this diverges generically as  $\theta \rightarrow 0$ .

- (c) The unit vector  $n^i$  pointing outwards the sphere has a non-zero component  $n^\theta > 0$ . To fix it, we impose the normalization

$$n^\mu g_{\mu\nu} n^\nu = 1 \implies n^\theta = \cos \theta \quad (16)$$

The induced metric on the fixed  $\theta$  sphere boundary which we will denote by  $\mathcal{B}$  is obtained by setting  $d\theta = dt = 0$  in  $ds^2$ , thus

$$ds^2|_{\mathcal{B}} = \tan^2 \theta h_{ij} d\alpha^i d\alpha^j \quad (17)$$

where  $\alpha^i$  are  $d-1$  angles on the unit sphere and  $h_{ij}$  is the metric on the unit sphere. This implies that

$$\sqrt{|g|_{\mathcal{B}}} = \sqrt{|h|} \tan^{d-1} \theta \quad (18)$$

Combining both, this gives

$$\int_{S^{d-1}} d^{d-1} \alpha \sqrt{|g|} n^i T_{it} = \int_{S^{d-1}} d^{d-1} \alpha \sqrt{|h|} \tan^{d-1} \theta \cos \theta T_{\theta t} \quad (19)$$

Note that  $\tan^{d-1} \theta \cos \theta = \tan^{d-2} \theta \sin \theta$  and  $\sin \theta \rightarrow 1$  as  $\theta \rightarrow \pi/2$ . Thus, the condition that it vanishes at the boundary is simply

$$\tan^{d-2} \theta T_{\theta t} \rightarrow 0 \quad (\theta \rightarrow \pi/2) \quad (20)$$

Noting that  $g_{\theta t} = 0$ ,  $R_{\theta t} = 0$  (this follows from the fact that  $AdS_{d+1}$  is maximally symmetric, and thus  $R_{\mu\nu} \propto g_{\mu\nu}$ ), the  $(\theta t)$  component of the stress tensor reads

$$T_{\theta t} = 2\partial_\theta \phi \partial_t \phi - \beta \nabla_\theta \nabla_t \phi^2 \quad (21)$$

Using  $\partial_t \phi = -\omega^2 \phi$  and  $\Gamma_{\theta t}^t = \tan \theta$  is the only non-vanishing Christoffel with  $(\theta t)$  lower indices we obtain

$$T_{\theta t} = -\omega^2 \partial_\theta \phi^2 + 2\beta \omega^2 \partial_\theta \phi^2 - 2\beta \tan \theta \omega^2 \phi^2 \quad (22)$$

Thus, the condition can be written as

$$(\tan \theta)^{d-2} [(1-2\beta)\partial_\theta + 2\beta \tan \theta] G_+^2 \rightarrow 0 \quad (\theta \rightarrow \pi/2) \quad (23)$$

We now investigate the behaviour of  $G_+$  when  $\sin \theta \rightarrow 1$ ,  $\cos \theta \rightarrow 0$ . Let us define the arguments of the hypergeometric  ${}_2F_1$  as

$$a \equiv \frac{\Delta + \ell + \omega}{2} \quad b \equiv \frac{\Delta + \ell - \omega}{2} \quad c \equiv \ell + \frac{d}{2} \quad (24)$$

One property of the hypergeometric is that, when  $c < a + b$  (which is true in our case), the limit  $z \rightarrow 1$  reads

$${}_2F_1(a, b, c, z) \sim (1-z)^{c-a-b} \frac{\Gamma(c)\Gamma(a+b-c)}{\Gamma(a)\Gamma(b)} \quad (25)$$

Thus as  $\sin \theta \rightarrow 1$ ,

$$\begin{aligned} G_+^2 &\sim (\sin \theta)^{2\ell} (\cos \theta)^{2\Delta} (\cos^2 \theta)^{2(c-a-b)} \frac{\Gamma(c)^2 \Gamma(a+b-c)^2}{\Gamma(a)^2 \Gamma(b)^2} \\ &\sim (\cos \theta)^{2(d-\Delta)} \frac{\Gamma(c)^2 \Gamma(a+b-c)^2}{\Gamma(a)^2 \Gamma(b)^2} \end{aligned} \quad (26)$$

(we dropped  $\sin \theta \sim 1$ ). For  $\partial_\theta G_+^2$ , one of the derivatives can hit  $\cos \theta$  generating the term

$$\partial_\theta G_+^2 \sim (\cos \theta)^{2(d-\Delta)-1} \frac{\Gamma(c)^2 \Gamma(a+b-c)^2}{\Gamma(a)^2 \Gamma(b)^2} \quad (27)$$

Thus, the condition (22) (using  $(\tan \theta) \sim (\cos \theta)^{-1}$ ) reduces to

$$(\cos \theta)^{d-2\Delta+1} \frac{\Gamma(c)^2 \Gamma(a+b-c)^2}{\Gamma(a)^2 \Gamma(b)^2} \rightarrow 0 \quad (28)$$

Note that  $d - 2\Delta + 1 \leq -d + 1$ , so  $(\cos \theta)^{d-2\Delta+1} \rightarrow \infty$ . We thus need

$$\frac{\Gamma(c)^2 \Gamma(a+b-c)^2}{\Gamma(a)^2 \Gamma(b)^2} = 0 \quad (29)$$

This is true whenever  $a$  or  $b$  is a pole of the gamma function (the gamma function has no zeros), namely

$$a = -n \quad \text{or} \quad b = -n \quad n = 0, 1, \dots \quad (30)$$

This implies

$$\Delta + \ell \pm \omega = -2n \quad (31)$$

meaning

$$\pm \omega = \Delta + \ell + 2n \quad (32)$$

(d) For  $\omega$  to be real, we require  $\Delta$  to be real. This means

$$d^2 + 4m^2 > 0 \implies m^2 \geq -\frac{d^2}{4}. \quad (33)$$

Plugging back the  $AdS$  radius  $R$ , this would give

$$m^2 R^2 \geq -\frac{d^2}{4}. \quad (34)$$

This is known as the Breitenlohner-Freedman bound.

## 2. Lowest scalar energy state in $AdS$

(a) (*optional part*) The CFT generators are expressed in terms of the  $SO(d, 2)$  generators as

$$D = -J_{0,d+1}, \quad M_{\mu\nu} = J_{\mu\nu}, \quad (35)$$

$$P_\mu = J_{\mu 0} + iJ_{\mu,d+1}, \quad K_\mu = J_{\mu 0} - iJ_{\mu,d+1}, \quad (36)$$

with

$$J_{MN} = -i \left( X_M \frac{\partial}{\partial X^N} - X_N \frac{\partial}{\partial X^M} \right). \quad (37)$$

where  $X^A$  are the embedding coordinates which in global coordinates read

$$X^0 = R \cos t \cosh \rho, \quad (38)$$

$$X^\mu = R \Omega^\mu \sinh \rho, \quad (39)$$

$$X^{d+1} = -R \sin t \cosh \rho. \quad (40)$$

It's easiest to express the derivative  $\partial/\partial t$  in terms of the  $\partial/\partial X^A$  (and likewise for  $\partial/\partial \rho$  and  $\nabla_\mu$ ) instead of working the other way around. For instance, we have

$$\frac{\partial}{\partial t} = \frac{\partial X^A}{\partial t} \frac{\partial}{\partial X^A} = -R \sinh \rho \frac{\partial}{\partial X^0} - R \cosh t \cosh \rho \frac{\partial}{\partial X^{d+1}}. \quad (41)$$

But this is precisely

$$\frac{\partial}{\partial t} = X^{d+1} \frac{\partial}{\partial X^0} - X^0 \frac{\partial}{\partial X^{d+1}} = -X_{d+1} \frac{\partial}{\partial X^0} + X_0 \frac{\partial}{\partial X^{d+1}} = iJ_{0,d+1}, \quad (42)$$

since  $X_0 = -X^0$  and  $X_{d+1} = -X^{d+1}$ . Hence  $-J_{0,d+1} = i\partial_t$ , as claimed.

Next, if we extend the  $\Omega^\mu$  to cover all of  $\mathbb{R}^d$ , we have

$$\frac{\partial}{\partial \Omega^\mu} = \frac{X^A}{\partial \Omega^\mu} \frac{\partial}{\partial X^A} = R \sinh \rho \frac{\partial}{\partial X^\mu}, \quad \mu = 1, \dots, d, \quad (43)$$

so more properly speaking, we have the  $\mathbb{S}^{d-1}$ -covariant derivatives

$$\nabla_\mu = R \sinh \rho \left[ \frac{\partial}{\partial X^\mu} - \Omega_\mu \Omega^\nu \frac{\partial}{\partial X^\nu} \right] \quad (44)$$

which indeed obey  $\Omega^\mu \nabla_\mu = 0$ . Consequently

$$X_\mu \frac{\partial}{\partial X_\nu} = R \sinh \rho \Omega_\mu \frac{\partial}{\partial X^\nu} = \Omega_\mu \nabla_\nu + \Omega_\mu \Omega_\nu \Omega^\rho \frac{\partial}{\partial X^\rho}, \quad (45)$$

so (since the second term is symmetric in  $\mu \leftrightarrow \nu$ )

$$J_{\mu\nu} = -i(\Omega_\mu \nabla_\nu - \Omega_\nu \nabla_\mu) = M_{\mu\nu}. \quad (46)$$

Finally, we have

$$\frac{\partial}{\partial \rho} = \frac{\partial X^A}{\partial \rho} \frac{\partial}{\partial X^A} = R \left[ \cos t \sinh \rho \frac{\partial}{\partial X^0} + \Omega^\mu \cosh \rho \frac{\partial}{\partial X^\mu} - \sin t \sinh \rho \frac{\partial}{\partial X^{d+1}} \right]. \quad (47)$$

We are aiming for an expression of the form  $J_{AB}$ , expressed purely in terms of the  $X_A$ . As a first step, we can introduce the operators

$$\mathcal{D}_\mu = \Omega_\mu \frac{\partial}{\partial \rho} + \frac{1}{\tanh \rho} \nabla_\mu \quad (48)$$

which are somewhat simpler: they can be recast as

$$\mathcal{D}_\mu = R \left[ \cos t \sinh \rho \Omega_\mu \frac{\partial}{\partial X^0} + \cosh \rho \frac{\partial}{\partial X^\mu} - \Omega_\mu \sin t \sinh \rho \frac{\partial}{\partial X^{d+1}} \right], \quad (49)$$

$$= X_\mu \left( \cos t \frac{\partial}{\partial X^0} - \sin t \frac{\partial}{\partial X^{d+1}} \right) + R \cosh \rho \frac{\partial}{\partial X^\mu}. \quad (50)$$

Moreover

$$\Omega^\mu \tanh \rho \frac{\partial}{\partial t} = -X_\mu \left( \sin t \frac{\partial}{\partial X^0} + \cos t \frac{\partial}{\partial X^{d+1}} \right). \quad (51)$$

Using the identity  $R \cosh \rho = -e^{\pm it}(X_0 \pm iX_{d+1})$ , we can therefore write

$$\mathcal{D}_\mu - i\Omega_\mu \tanh \rho \frac{\partial}{\partial t} = e^{it} \left[ X_\mu \left( \frac{\partial}{\partial X^0} + i \frac{\partial}{\partial X^{d+1}} \right) - (X_0 + iX_{d+1}) \frac{\partial}{\partial X^\mu} \right], \quad (52)$$

that is to say

$$-ie^{-it} \left( \mathcal{D}_\mu - i\Omega_\mu \tanh \rho \frac{\partial}{\partial t} \right) = J_{\mu 0} + iJ_{\mu, d+1}. \quad (53)$$

Likewise

$$\mathcal{D}_\mu + i\Omega_\mu \tanh \rho \frac{\partial}{\partial t} = e^{-it} \left[ X_\mu \left( \frac{\partial}{\partial X^0} - i \frac{\partial}{\partial X^{d+1}} \right) - (X_0 - iX_{d+1}) \frac{\partial}{\partial X^\mu} \right], \quad (54)$$

that is to say

$$-ie^{it} \left( \mathcal{D}_\mu + i\Omega_\mu \tanh \rho \frac{\partial}{\partial t} \right) = J_{\mu 0} - iJ_{\mu, d+1}. \quad (55)$$

This agrees precisely with the formulas from the exercise.

(b) The requirement  $D\phi = \Delta\phi$  gives

$$i \frac{\partial}{\partial t} \phi = \Delta\phi \implies \phi(r, t, \Omega) = e^{-i\Delta t} \phi_0(r, \Omega) \quad (56)$$

The requirement  $K_\mu\phi = 0$  implies that  $\nabla_\mu\phi = 0$  (independent of the  $S^{d-1}$  angular coordinates), and

$$(-\partial_\rho - i \tanh \rho \partial_t)\phi_0 = 0 \implies \partial_\rho\phi_0 = -\Delta \tanh \rho \phi_0 \quad (57)$$

This is solved by

$$\phi_0 \propto e^{-\Delta \log \cosh \rho} \implies \phi \propto \left( \frac{e^{-it}}{\cosh \rho} \right)^\Delta \quad (58)$$

(c) The change of variables between the two coordinates system is  $\tanh \rho = \sin \theta \implies \frac{1}{\cosh \rho} = \cos \theta$ . So we obtained that a scalar operator  $\phi$  which is a **primary state of the CFT algebra with dimension  $\Delta$**  is expressed as

$$\phi_{\text{CFT, primary}} \propto e^{-i\Delta t} (\cos \theta)^\Delta \quad (59)$$

whereas in the last exercise we solved the **massive scalar fields in AdS** and obtained that  $\phi = e^{-i\omega t} G_+(\theta) Y_\ell(\Omega)$ . Choosing  $\ell = 0$ ,  $\omega = \Delta_m$  (**lowest energy state**) and  $Y_{\ell=0} \propto 1$  the solutions reads

$$\phi_{\text{AdS, lowest energy state}} \propto e^{-i\Delta_m t} (\cos \theta)^{\Delta_m} {}_2F_1\left(1, 0, \frac{d}{2}, \sin^2 \theta\right) = e^{-i\Delta_m t} (\cos \theta)^{\Delta_m} \quad (60)$$

where  $\Delta_m$  in this exercise was defined as  $\Delta_m \equiv \frac{d}{2}(1 + \sqrt{1 + 4m^2})$ . We see that the two are the same, upon identifying the CFT dimension  $\Delta$  with the quantity  $\Delta_m$ .

### 3. Vector fields in AdS

- (a) Massive vector fields have  $D - 1$  degrees of freedom, given that the conjugate momentum to the component  $A^0$  is  $F_{00} = 0$ , hence  $A^0$  is non-dynamical. Massless vectors, have  $D - 2$  because another one of their components can be removed with a gauge transformation.
- (b) Trivial use of Euler-Lagrange's equations.
- (c) For the  $D - 1$  components with  $i \neq z$ , the equations of motion yield

$$\partial_i F^{ib} + \partial_z F^{zb} + (4 - D)z^{-1} F^{zb} = z^{-2} m^2 A^b, \quad (61)$$

which gives

$$\nu(\nu - 1)z^{\nu-2} J_i + (3 - d)\nu z^{\nu-2} J_i = m^2 z^{\nu-2} J_i. \quad (62)$$

Thus, we have the result

$$\nu(\nu - 1) + (3 - d)\nu = m^2. \quad (63)$$

Now we undo the conformal transformation:

$$A_i = \eta_{ij} A^j = z^2 g_{ij} A^j, \quad (64)$$

and conclude that  $A_i$  has weight 1 under dilation  $x^i \mapsto \Omega x^i, z \mapsto \Omega z$ , just as we would expect from a free vector field. Hence, the weight of  $J$  is

$$\Delta_J = z^{-\nu} A = 1 + \nu, \quad (65)$$

and

$$(\Delta - 1)(\Delta - 2) + (3 - d)(\Delta - 1) = m^2, \quad (66)$$

or

$$(\Delta - 1)(\Delta + 1 - d) = m^2. \quad (67)$$

- (d) For  $m^2 = 0$ , we find

$$\Delta = d - 1 \quad \text{or} \quad \Delta = 1. \quad (68)$$

Here,  $\Delta = d - 1$  corresponds to a conserved boundary current  $J^i$ , and  $\Delta = 1$  corresponds to a boundary potential (background source)  $A_i$ . If  $A_i = 0$ , then it corresponds to a globally conserved current.

- (e) For  $A_z$ : For  $m^2 = 0$ , we have gauge symmetry  $\delta A_a = \nabla_a \alpha$ , so we can impose the gauge condition, e.g.,  $A_z = 0$ , giving  $D - 2 = d - 1$  degrees of freedom. Using the  $z$ -equation of motion:

$$\partial_i F^{iz} = 0, \quad F^{zz} = 0, \quad (69)$$

we get that  $J^i$  is a conserved current on the boundary

$$\partial_z(\partial_i A^i) = 0 \implies \partial_i J^i = \nabla_i J^i = 0. \quad (70)$$

Such conserved currents are vectors in  $D - 1$  dimensions, satisfying one constraint. Hence they have  $D - 2$  degrees of freedom, which matches bulk gauge fields.